1	Climate Change, Justice and Adaptation
2	among African American Communities in the
3	Chesapeake Bay Region
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### 26 Abstract

27 In this paper we present results from a study of climate change and community adaptation, 28 focusing on two African American communities on the Eastern Shore of the Chesapeake 29 Bay. These two communities are representative of small, resource poor communities that 30 are particularly prone to increased flooding, storms and erosion accompanying climate 31 change. We frame our research within a focus on distributive and procedural justice, 32 including considerations of the role of adaptation capacity and vulnerability. We use 33 methods from cognitive environmental anthropology and psychometrics to ground a 34 participatory and multidisciplinary approach that emphasizes community participation and 35 the sharing of scientific and program information on climate change and adaptation. Our 36 results suggest that community members have a holistic understanding of climate change, 37 recognize a wide range of potential community and individual impacts, face specific 38 vulnerabilities, and are organized through their churches to engage in efforts to reduce the 39 impacts of increased flooding and storms on their communities.

# 41 **1. Introduction**

42 The impacts of climate change are often discussed at the global, continental or 43 national levels, but ultimately they are experienced at the local level (Paavola and Adger 44 2006). As a result, issues of justice, equity and inequality present difficulties for policy and 45 decision-makers because local communities experiencing climate change impacts have 46 different vulnerabilities within each country (O'Brien et al. 2004). To further complicate the 47 matter, often the most vulnerable have the least voice and lack equal protection of interests 48 from their national governments. Among the vulnerable, climate change may especially 49 burden those who already struggle with current climate variability and extreme weather 50 events (O'Brien et al. 2004; Adger et al. 2003; Wilson et al. 2010). 51 The impacts of climate variability and extreme weather events are often felt more

52 intensely in coastal areas because the coastal zone defines the confluence of both marine and 53 terrestrial processes. For instance, coastal communities are more vulnerable to increased 54 flooding due to both sea level rise and projected increases in precipitation and river flows as 55 a result of climate change (Kirshen et al. 2008; USGCRP 2008). It is estimated that over 50 56 percent of the population in the United States now live in coastal zones, and this number is 57 projected to increase (Wilbanks et al. 2008). This exposure to flooding is projected to 58 increase in the coming decades as a result of sea level rise due to climate change, 59 continued land subsidence, and probable increases in the intensity and frequency of 60 coastal storms (Thomas et al. 2009).

Research, environmental decision-making and governance focused on adaptation
to climate change impacts are strengthened by an explicit consideration of social justice

63 issues (Posner and Weisbach 2010). Differentiated access to information, variability in 64 risks of flooding and storms, restrictions on participation in adaptation programs, and 65 variability in vulnerabilities and adaptation goals will significantly shape the form and 66 ability to adapt to climate change impacts. Communities located in areas more prone to 67 flooding with fewer social, economic and political resources will almost certainly face 68 significant constraints in adapting to climate change impacts, which may be a 69 continuation of historical and cultural legacies of discrimination and inequalities (cf. 70 Wilson et al. 2010).

71 In this article we present results from a participatory and multidisciplinary study of 72 climate change impacts, vulnerabilities and adaptation for two African-American 73 communities on the eastern shoreline of the Chesapeake Bay. The Eastern Shore of the 74 Chesapeake Bay is particularly prone to the impacts of accelerated sea level rise because of 75 its low topography, hundreds of miles of coastline, and growing population (Cole 2008). 76 Given the low-lying topography and extensive shoreline of the Eastern Shore, most residents 77 are not too far from creeks, marshes, ditches, rivers, sounds or the Bay itself. State and 78 county governments are developing policies and programs to assist communities in adapting 79 to projected increases in flooding, storms, land erosion and salt-water intrusions to the 80 Shore's vast network of marshes (MCCC 2008). However, a challenge facing 81 governmental and local efforts to develop and implement adaptation programs is the 82 variability in community and local environmental conditions that create a wide range of 83 risks, vulnerabilities and adaptation capacity to climate change impacts. This diversity of 84 situations and needs raises questions of social justice regarding what is fair to expect from

85 local communities, what must be provided to them, who decides on adaptation needs and
86 services, and which vulnerabilities to climate change impacts should be prioritized.

87 Many African American communities on the Eastern Shore of Maryland today are 88 descendants of slaves, freed after the Civil War, and African Americans who later moved to 89 the Shore. Over the past century, members of these communities worked in agriculture and 90 commercial fisheries (Wennersten 1992). Our interest in African American communities' 91 vulnerability to climate change arises for a number of reasons. First, these communities 92 represent a socio-economic group that has not been studied sufficiently in terms of climate 93 change impacts (Wilson et al. 2010). Second, because of historical and racial barriers and 94 obstacles, African Americans have had fewer socioeconomic resources at their disposal to 95 respond to environmental and societal threats to their communities. Today, many of these 96 African American communities on the Eastern Shore are disappearing due to declines in 97 local job opportunities and migration of young adults to cities. Third, these communities are 98 often situated on low lying lands exposed to flooding, and with accelerated sea level rise and 99 increased flooding, storms, and erosion many of these communities could literally 100 disappear. Finally, these communities and their churches embody for many African 101 American Eastern Shore residents their history and heritage (Anderson 1998). 102 We present results from our research on climate change, justice and adaptation for two African American communities on Maryland's Eastern Shore of the Chesapeake Bay<sup>1</sup>. 103 104 Located in Dorchester County, Smithville is a dispersed community of residents descended 105 from workers in the agricultural, timber and seafood industries. The center of the

<sup>&</sup>lt;sup>1</sup> The results of a parallel study of urban communities and environmental justice in Boston, Massachusetts is presented in Douglas et al. 2011.

106 community is the New Revived United Methodist Church. The community of Bellevue is 107 located in Talbot County and is comprised of descendants who worked in the seafood 108 industry (Anderson 1998). The center of Bellevue is St Luke United Methodist Church. 109 Though the communities share a common history they are also unique in their identities and 110 challenges in adapting to climate change. Both communities face increased threats of 111 flooding, yet the risks that flooding presents to each community is different, and each 112 community's capacity to adapt is also different, even though they share many broad cultural, 113 socioeconomic and historical characteristics.

114 We begin with a discussion of climate change impacts for Chesapeake Bay, and in 115 particular for the Eastern Shore, followed by a presentation of some connections among 116 distributive and procedural justice, adaptation and vulnerability that help justify our study 117 focus and specific research approaches. We then follow with a description of our 118 participatory and multidisciplinary approach, and the results from applying cognitive and 119 psychometric methods used to collect information on knowledge, interests, needs and values 120 related to climate change adaptation. We conclude with community specific adaptation 121 challenges and goals, and return to how our research fits within a distributive and procedural 122 justice approach.

123

### 124 **2.** Climate Change and Adaptation for the Chesapeake Bay

Assessments of climate changes in the Chesapeake Bay are fairly consistent in their focus and direction – and rather dire in their predictions (Najjar et al. 2010). In particular, the phenomena of sea level rise and associated changes -- erosion, flooding, and inundation -- are very important for the Chesapeake Bay region. Accounting for only thermal expansion

129	and ice melt, sea level rise projections range from 0.8 to 2 meters (Pfeffer et al. 2008;
130	Katsman et al. 2008; Vermeer and Rahmstorf 2009). A recent state-of-the-science review
131	for climate change in the Bay estimates that in the 21 <sup>st</sup> century relative sea level rise will be
132	approximately 0.7 to 1.6 meters – with variability across the Bay (Najjar et al. 2010; Pyke et
133	al. 2008). The consequences of accelerated sea level rise are dire for the low-lying areas
134	along of the Chesapeake Bay: permanent land loss due to inundation and accelerated
135	erosion; wetland accretion; migration or drowning; saltwater intrusion; and increased
136	frequency of storm flooding (Gesch et al. 2009). Tidal range and extreme wave height in
137	storms are expected to increase (Najjar et al. 2010).
138	While the extent and range of impacts may vary, it is generally agreed that the low-
139	lying Eastern Shore, and the counties of Dorchester and Talbot in particular, are in the high-
140	risk category, susceptible to erosion, flooding and inundation (Johnson 2000). Sea level
141	rise, in particular, will have dramatic effects on the region in and around Dorchester County.
142	Sea level rise modeling indicates that in the Blackwater National Wildlife Refuge, a vast
143	marsh and wildlife refuge in the heart of Dorchester County, will be largely underwater by
144	the year 2100, resulting in a loss of about 93 percent of its tidal marshes and swamps and
145	over 32,000 acres of undeveloped dry lands (National Wildlife Federation 2008; also see
146	Johnson 2000:4-5). Given that nearly half of the land area in Dorchester county lies below
147	1.5 m of sea level and is currently vulnerable to flooding from moderate storms (Cole 2008),
148	the outlook is bleak when considering the impacts of coastal flooding from future SLR and
149	its impacts. No significant research has yet investigated how climate change will impact
150	cultural and socioeconomic processes (and vice versa) across this region (Pyke et.al. 2008).

151 Government and non-government policies and programs are emerging in response to 152 the ecological risks and community vulnerabilities for the Chesapeake Bay. President 153 Obama issued Executive Order 13508 on Chesapeake Bay Protection and Restoration that 154 included the goals to "assess the impacts of a changing climate on the Chesapeake Bay and 155 develop a strategy for adapting natural resource programs and public infrastructure to the 156 impacts of a changing climate on water quality and living resources of the Chesapeake Bay 157 watershed" (Obama 2009: 2). The state of Maryland formed an Adaptation and Response 158 Working Group (ARWG) of the Maryland Commission on Climate Change (MCCC) to 159 investigate short and long-term adaptation measures to address sea level rise and climate 160 change. Specific actions recommended include the promotion of programs and policies to 161 reduce impact to existing areas and future developments; a transition to more sustainable 162 economies and investments; improved preparedness and planning regarding human 163 population safety, health, and welfare; protection of the natural shoreline (MCCC 2008). 164 Additionally, the State of Maryland has developed the CoastSmart Communities Initiative, a 165 program that in partnership with NOAA will provide grants ranging from \$10,000 to 166 \$75,000 to coastal communities to support the planning and preparation needed to adapt to 167 climate related impacts and provide on-the-ground expertise, planning guidance, training 168 and tools to support local planning efforts (DNR 2012).

Multiple non-profit environmental and stewardship groups are recommending specific policies and programs. For example, the National Wildlife Federation recommends (a) requiring local governments to consider sea-level rise when amending their plans for coastal land use; (b) expanding Maryland and Virginia's 100-foot buffer zones and increasing the enforcement of existing buffer zone areas; and (c) developing policies such as

rolling easements or mandatory setbacks to discourage new development in vulnerable
coastal areas (NWF 2008:10; see also Chesapeake Bay Foundation 2007). However, prior
to our study there had been no specific focus on coastal African American communities in
the region.

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# 179 **3. Adaptation, Vulnerability and Justice**

180 Adaptation and vulnerability to climate change inherently raise issues of justice 181 (Posnor and Weisbach 2010). Broadly speaking, key justice dilemmas of adaptation 182 include responsibility for climate change impacts, the sharing of assistance to vulnerable 183 countries and communities for adaptation, and fair participation in planning and making 184 decisions on adaptation (Paavola and Adger 2006). These broad justice considerations are 185 empirically based on the fact that adaptation to climate change consists of individual and 186 group choices made in the context of present and predicted climate change impacts, societal 187 concerns and priorities, and existing institutional decision-making structures and processes 188 that bias particular distributions of resources, wealth and power (Paavola and Adger 2006). 189 These choices and decisions are often characterized by conflicts of values and interests, and 190 they cannot be reduced to technological solutions, market driven processes and cost-benefit 191 calculus (Bromley and Paavola 2002). Ultimately, these choices are moral in the sense that 192 they are informed by values that guide the comparison of alternatives choices and decisions. 193 Thus, the collective adaptive decisions need to strike a legitimate and just balance between 194 the different interests and values (Paavola 2005).

195 The concept of vulnerability is also central for climate justice because it further ties 196 the above concerns of adaptation to those of moral philosophy (Paavola and Adger 2006).

197 Research on adaptation to climate change defines vulnerability as a function of exposure, 198 sensitivity and adaptive capacity (Paavola and Adger 2006). Research on natural disasters 199 defines vulnerability as the characteristics of a person or group and their situation that 200 influence their capacity to anticipate, cope with, resist and recover from the impact of a 201 natural hazard (Wisner et al. 2004). This definition draws attention to factors such as assets, 202 sources of livelihood, class, race, ethnicity, gender and poverty that demarcate vulnerable 203 groups (Paavola and Adger 2006). For example, vulnerability is affected by the extent of 204 people's dependence on risky activities such as agriculture or fishing, and the ability of 205 communities to access health, education, and economic resources. 206 A focus on vulnerability is further justified given principles of distributive justice 207 (Kolm 1996; Rawls 1971). Distributive justice is comprised of normative principles 208 designed to guide the allocation of benefits and burdens in a society. A fundamental goal of 209 distributive justice is to develop principles that guide the fair and equal distributions of 210 goods and services among individuals and groups. A core principle of distributive justice is 211 strict equality. This egalitarian principle says that every person should have the same level 212 of material goods and services. The principle is most commonly justified on the grounds that 213 people are owed equal respect and that equality in material goods and services is the best 214 way to give effect to this ideal.

Equality is a reasonable theoretical and moral starting point for a focus on vulnerability to climate change impacts. Equality can be considered just if there are no compelling reasons to prefer an inegalitarian distribution. However, need and lack of capacity are often considered to be such compelling reasons that would justify a deviation from the norm of equality (Rawls 1971). Vulnerability can be considered comparable to

need and lack of capacity in providing a justification for favoring the most vulnerable indistributive matters (Paavola and Adger 2006).

222 In addition to distributive justice, it is also important to focus on procedural justice. 223 Procedural justice is concerned with roles and positions of individuals or groups in the 224 planning and decision-making processes, and includes considerations of factors such a as 225 recognition, participation and distribution of power (Tyler et al. 1997; Young 2000). 226 Importantly, procedural justice fosters legitimacy in that it helps assure those whose interests 227 are not supported by a specific decision that their interests have been considered and that 228 they there will be opportunities to be considered in future decisions (Paavola and Adger 229 2006). Procedural justice allows all stakeholders to express dissent or consent in a context 230 that legitimizes differences and conflicts in interests and values; some decisions will be 231 adopted, some not, but all will have equal and dignified consideration (Schlosberg, 1999) 232 Procedural justice is not independent of distributive justice. If stakeholders are not 233 recognized and thus cannot participate in planning and decision-making, for example 234 regarding adaptation, their interests and values will not inform plans and decisions, which 235 can aggravate inequality rather than reduce it (Paavola and Adger 2006).

236

### 237 4. Study Communities

The study communities of Smithville and Bellevue are both located on the Maryland portion of the Eastern Shore of the Chesapeake Bay (Figure 1). Fishing, farming and forestry are the keystones of the Eastern Shore economy and way of life. As Maryland's most concentrated agricultural region, counties in the Middle Eastern Shore area (Queen Anne's, Talbot, Caroline and Dorchester) account for almost one-third of Maryland's

243	agricultural land and produce over 50% of the state's major crops such as corn, soybean,
244	wheat and barley (ESLC 2002). The Eastern Shore possesses a distinct cultural identity
245	within the Chesapeake Bay region and the state of Maryland: those "born on the Shore" are
246	known to be independent, hard working, religious and politically conservative (Wennersten
247	1992).
248	
249	Figure 1
250	4a. Smithville
251	The African-American community of Smithville is located in Dorchester County,
252	which refers to itself as the "heart of the Eastern Shore" because of its location in the middle
253	of the Shore and the county's boundaries form a heart-like figure (Figure 2). According to
254	the three-year estimates for the U.S. Census Bureau, Dorchester County in 2006 had a
255	population of 31,734, of which almost 28 percent was African American, compared to 12
256	percent, nationally. Dorchester is one of the poorer counties in Maryland, though in recent
257	years newcomers and tourists have discovered Dorchester County's open natural spaces and
258	lower cost of living. In 2007, per capita personal income (\$25,047) in Dorchester County
259	was 79 percent of statewide per capita income; and is expected to decline to 71 percent by
260	2030 (Maryland Department of Planning, Planning Services 2007). Unemployment in
261	Dorchester County is 10.1 percent, compared to Maryland's 7.3 percent average and the
262	U.S. national average of 9.4 percent (Bureau of Labor Statistics 2010).
263	The community of Smithville is located between Taylors Island and Blackwater
264	National Wildlife Refuge in southern Dorchester County. Based on interviews with
265	community residents, Smithville was formed in the early 20 <sup>th</sup> century when a farmer (named

266 Smith) sold land to African Americans living and working on a nearby farm owned by white 267 farmers. The land sold was low lying and marshy, and not suitable for agriculture. By the 268 1910 to 1920 period there were already a number of African American families living in 269 Smithville, and by the 1940s the population had increased to perhaps 20 families located 270 along what today is Smithville Road. Informants do not know precisely how many people lived in Smithville during the first half of the 20<sup>th</sup> century, but they remember that "you 271 272 couldn't get through here [Smithville] because of all the children and dogs." The 273 community, probably along with African Americans living on nearby Taylors Island, even 274 had a baseball team named The Lone Rangers. One informant remembered that when he 275 returned to Smithville after World War II there were maybe about 100 people living here.

276 Residents of Smithville worked in seafood processing on Taylors Island and at 277 white-owned farms and businesses harvesting and processing vegetables and fruits. Many 278 men also work in local sawmills. Today, there are only a handful of families residing in 279 Smithville. Young people have moved away because there were no jobs outside a few in 280 seafood and agriculture. Those who have moved have not, however, sold their property, and 281 still see themselves socially connected to Smithville. Many who have left relocated to the 282 nearby city of Cambridge but still attend church in Smithville.

In the past as today, church is the most important social institution in Smithville. The New Revived United Methodist Church was built in 1925. Although the policy of the United Methodist Church is to integrate whites and African Americans in its churches, churches on the Eastern Shore in practice remain separated into white and black churches, for historical, social and cultural reasons. Today, New Revived has about 30 active

288 members, as well as other members who support the church with donations and visit the289 church during its annual homecoming.

290 4b. Bellevue

291 The community of Bellevue is located in Talbot County (Figure 2), north of 292 Smithville, along the Tred Avon River. Talbot County, with its almost 600 miles of 293 shoreline, is almost entirely surrounded by the waters of Chesapeake Bay and its 294 tributaries (Nuckols et al. 2010). Parts of Talbot County, because of its proximity to 295 Chesapeake Bay, are already subject to severe erosion caused by wind and wave action. 296 The population of Talbot County is approximately 36,000. In addition to long-term 297 residents, the county has become a retirement location. Almost 23 percent of Talbot County 298 residents are over the age of 65, contrasting with the national average of 12.6 percent. 299 Approximately 13 percent of the population is African American. In the nearby town of St. 300 Michaels the population is 1,193 and African Americans comprise 29.3 percent of the 301 population. In 2009, the per capita income in Talbot County was \$39,294 and the median 302 household income was \$59,633 (U.S. Census Bureau 2011). In 2009 the percentage of 303 people living below the poverty level in Talbot County was 8.8 (U.S. Census Bureau 2011). 304 The unemployment rate in Talbot County is 7.4 percent (Bureau of Labor Statistics 2010). The village of Bellevue was founded in the early 20<sup>th</sup> century by African Americans 305 306 working in the seafood industry as shuckers of oysters, pickers of crabs or as watermen 307 "working the water." A seafood-processing factory that employed and housed African

308 Americans sold part of the adjoining land to African Americans. By the 1930s, the Bellevue

309 Seafood Company was African American owned and operated, and up until the 1970s there

310 were many African American watermen who worked the same areas as white watermen,

often on the same boats, and sold their catch to the same processors, with apparently little racial discrimination present (Anderson 1998). Working the water was more profitable than working on farms or in food processing. It is not clear what the population of Bellevue was through the first half of the 20<sup>th</sup> century, though interviews and observations of the current layout of the village suggest that there could have been 100 to 200 residents. Similar to Smithville, Bellevue also had a baseball team. Today, the population of Bellevue is probably close to 100 residents, mainly African American.

The center of Bellevue, in the past and today, is St. Luke United Methodist Church. The church was built on its current location in the center of Bellevue in 1903. Today, the church has between 30 and 40 active members, and a larger number of supporters who no longer live in the community but return for the church's annual homecoming. The church is also well known for is chicken barbecue, which is an important source of church funds. During summer months, church members sell barbecued chicken from a nearby roadside stand.

325 **5. Participatory Research Approach** 

Our research approach reflects interests in both distributive and procedural justice. In terms of distributive justice, we needed an approach that would identify community residents' interests, values and needs affected by climate change, community capacity, and vulnerabilities in meeting needs and sustaining values. In terms of procedural justice, we needed an approach that was both participatory and inclusive, while capable of providing information on interests, values and needs in a manner that created legitimacy and ownership of the process and results by community members (Aylett 2010).

333 More specifically, we used theories and methods from cognitive-environmental 334 anthropology and psychometrics to engage community members in the collection and 335 analysis of their knowledge, interests, values and needs related to climate change impacts. 336 We also provided scientific information on climate change and predicted impacts to both 337 educate and generate community responses on adaptation goals, constraints and 338 opportunities. We also provided information on state-level programs that seek to work with 339 Maryland coastal communities to improve adaptation to predicted increases in storms and 340 flooding due to climate change induced sea level rise. Throughout our data collection and 341 analysis, we involved community members in order to better represent their understandings 342 of climate change, vulnerabilities to climate change impacts, and their values and 343 preferences in terms of adapting to climate change. We brought to this participatory 344 research process expertise from anthropology, hydrology, engineering, geography and program management Most of our community level information was collected during a 345 346 series of three workshops held in each community from 2009 to 2010, though we also 347 collected information from informal interviews and participant observation. The specific 348 methods and data collection activities used are described below under cultural analysis, 349 sharing the science, and sharing adaptation options.

## 350 <u>Cultural Analysis of Community Understanding of Climate Change (Workshop 1)</u>

Two key goals of the project were to 1) elicit community members' knowledge of climate change as well as their experiences and observations of climate change impacts on their communities and 2) identify opportunities and constraints to different adaptation strategies that could reduce community vulnerability to climate change impacts, most

notably increased flooding due to sea level rise, increased storms, and changing precipitationpatterns.

357	Because climate science is complex, contains considerable uncertainty in terms of
358	local impacts, and the public and political discourse over climate change can be contentious
359	we anticipated a wide range of views and opinions on climate change among community
360	members. We thus theorized that community residents would draw upon a wide range of
361	information to interpret and make judgments about the source, veracity and utility of
362	information on climate change. As a result, we did not want to assume much about what
363	and how community members understand and think about climate change.
364	We did hypothesize that workshop participants would conceptualize climate change within
365	existing cultural frames of reference acquired through past, shared experiences. These
366	frames of knowledge could, for example, include religious beliefs and values that might lead
367	to the conclusion that climate change was in fact not occurring, or ideologies that
368	contextualized climate science within political agendas.
369	Our first research priority was to try to elicit these existing frames of cultural
370	knowledge for understanding climate change, including information on adaptation and
371	vulnerability. We used approaches familiar to cognitive and environmental anthropologists
372	for eliciting shared core knowledge of environmental phenomena (cf. Atran et al. 2005;
373	Kempton et al. 2005; Paolisso 2002, 2007; Shaffer and Naiene 2011). More specifically, to
374	elicit cultural knowledge about climate change we used cognitive and psychometric
375	approaches, specifically free listing, pile sorting and multidimensional scaling (MDS)
376	(Bernard 2006; Kruskal and Wishing 1978; Weller and Romney 1988). We first asked
377	participants to freely list the words that come to mind when they think about "climate

378 change." We then asked participants, individually, to identify the listed words that they felt 379 were "most important." Next, we identified words that were seen as "most important" by 380 two or more community members. This list represented words that the group shared to 381 some degree. We then had workshop participants organize these shared words (printed on 382 small slips of paper) into piles of terms (pile sort). The only instruction we provided was to 383 organize the words so that words more similar to each other were in the same pile, and 384 words more dissimilar were in different piles. Pile sorting is an easy and useful way for 385 collecting information on similarities and differences in knowledge and values (Weller and 386 Romney 1988). The first workshop concluded with some general discussion of what they 387 found interesting, difficult or confusing about the exercises, and what other thoughts 388 emerged about climate change as a result of the exercises. We analyzed the pile sorts using 389 multidimensional scaling (MDS).

390 MDS is a set of techniques that help researchers uncover the "hidden structure" of 391 data by analyzing proximities within the data itself (Kruskal and Wish 1978). A proximity 392 is a number or measure of how similar or dissimilar two objects are or perceived to be. The 393 most important output of MDS is a spatial representation of each data point in 394 configurations that suggest how similar or dissimilar the data are to each other (Kruskal and 395 Wish 1978). Visually, the more similar two points are perceived to be to each other, the 396 closer they will be represented in the spatial representation, and the opposite is also true: in 397 the case of our climate change words, the farther apart two words are in the spatial plotting, 398 the more dissimilar workshop participants thought the words were. MDS programs are 399 capable of plotting proximity data in "n" dimensions, though most researchers analyze data 400 using either two or three dimensions. In interpreting the MDS spatial representation, the

401 researcher, with assistance from community participants in our case, can focus on two

402 specific analyses: 1) identification and evaluation of the meaning associated with close

403 clusters of data (e.g., words about climate change) and 2) exploration of possible

404 explanations for the overall distribution of data in order to identify the hidden dimensions

- 405 underlying and organizing the data.
- 406 <u>Sharing the Science of Climate Change (Workshop 2)</u>

407 Following a discussion of the cultural knowledge of climate change, we shared 408 scientific information on the causes of climate change and the resulting effects on sea level 409 rise and coastal flooding. We explained how scientists know what past climates looked like 410 from ice core data and how we assess what future climates could look like from modeling 411 various  $CO_2$  emissions scenarios. We presented digital maps showing different flooding 412 projections for Dorchester and Talbot counties depending on different sea level rise and 413 storm scenarios (Figures 2 and 3). Community residents were genuinely interested, asked 414 many questions, located their specific houses and local landmarks on the flood maps, and 415 were clearly valued learning what science offers and what it might mean for their 416 community.

417

#### Figure 2

418

### Figure 3

### 419 <u>Sharing Information on Adaptation Options (Workshops 2 and 3)</u>

At the end of the second workshop, we presented four categories of adaptation:
protection, accommodation, evacuation and retreat. We then discussed with participants the
desirability and feasibility of these options for their communities. At the third and final

423 workshop in each community, we continued the discussion of adaptation options by 424 focusing on community incentives and obstacles to the specific adaptation options. A 425 representative from Maryland's Department of Natural Resources joined us at the third 426 workshop and led a focused discussion of the adaptation options the State is developing to 427 address climate change impacts. These options include avoidance or prevention of 428 additional risks (e.g., zoning to restrict building in flood prone areas), accommodation (e.g., 429 elevate homes, emergency management), protections (e.g., berms and sea walls), and finally 430 retreat. Participants formed small groups to discuss which options were feasible, or not, and 431 what were the opportunities and constraints to implementing any of the options.

### 432 6. Results and Discussion

433 Our research with Smithville and Bellevue provided a number of valuable insights 434 on community members' understanding of climate change, their needs, values and 435 vulnerabilities, and their adaptation goals. Both communities were enthusiastic about our 436 project and motivated to learn more about climate change and the implications for their 437 communities. We did not find any resistance to believing that climate change is occurring, 438 or support for any political or religious arguments against climate change. We present our 439 findings in two sections: 1) cultural knowledge of climate change, impacts and responses 440 and 2) justice and adaptation issues. We follow with some overall conclusions linking our 441 study to broader climate justice themes.

# 442 6a. Cultural Knowledge of Climate Change

We found that community members had robust and varied understandings of climatechange, based on both local experiences and information obtained from media sources.

445	These understandings or cognitive frameworks were first identified, and most clearly
446	captured, by the freelist, pile sorting and multidimensional scaling activities. The freelisting
447	exercise in Smithville elicited a total of 100 words in response to the concept of "climate
448	change." Fifty-six of those terms were mentioned by at least four or more participants.
449	These fifty-six terms were pile sorted by the 24 workshop participants, and the
450	multidimensional scaling results are presented in Figure 4. The freelisting exercise in
451	Bellevue elicited a total of 60 terms of which two or more individuals mentioned 35 terms.
452	These 35 terms were pile sorted by eight workshop participants, and the multidimensional
453	scaling results are presented in Figure 5.
454	Figure 4
455	Figure 5
456	A number of patterns and results in the multidimensional scale plot in Figures 4 and
456 457	A number of patterns and results in the multidimensional scale plot in Figures 4 and 5 warrant discussion. There are differences between the two communities in the number of
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and vary in terms of specificity (e.g. crabs versus disasters) (Figure 4). In discussing these
terms with Smithville community members in workshop two, they clearly saw these words
as capturing the "catastrophic natural events" the "main 'frontal' issues one thinks of in terms
of climate change, the "immediate impacts" that need to be "dealt with first."

471 A very similar pattern can be found in the Bellevue MDS plot. For example, 472 workshop participants mentioned 17 words for environmental impacts of climate change, 473 such as volcanic ash, temperature, atmosphere, tsunami, loss of habitat, storms, flooding 474 land erosion, fish kills and declining animal population (Figure 5). These words are similar 475 in terms of breadth and variability to the terms elicited from Smithville community 476 members. In discussion with Bellevue workshop participants, they had little problem 477 relating how each of the environmental impact terms might be related to climate change, 478 though they readily admitted that they were not always "100 percent sure" but that they had 479 "read or heard things." For Bellevue, as well as Smithville, the breadth of the words 480 mentioned for the environmental impacts of climate change is quite impressive, and they 481 include environmental impacts that are of immediate concern to them, such as flooding, 482 storms, land erosion, as well as broader global impacts. They did not claim to fully 483 understand how climate change was linked to all these impacts, and they were open to 484 "being corrected."

A second cluster of terms that appears in both MDS plots represents what workshop participants believed were some of the **individual and community impacts of climate change**. In the Smithville MDS, examples of these 21 human-impact words include fuel prices, jobs, starvation, poverty, hunger, high depression, stress, isolation, and diseases (Figure 4). Workshop participants quickly identified this cluster of terms and referred to

490 them as "vulnerabilities," commenting, for example, that "if the climate changes and it is too 491 hot or cold, crops may not grow and result in starvation, hunger and poverty," and that 492 "climate change will make it more difficult to make a living off the water" (watermen), and 493 most importantly that the "aged are more susceptible than younger people." They also 494 thought that changing weather, particularly if cloudy or stormy for long periods of time, 495 would cause some people to be fearful, depressed, isolated, and stressed, and cited examples 496 of people they knew who get depressed when the weather is cloudy for a long time. They 497 also thought changes in weather caused more people to get allergies, again with personal 498 testimonies (Figure 4).

499 In Bellevue there was a more limited number of terms for individual and community 500 impacts. These terms are infrastructure, diseases, genocide, fear, famine, death and food 501 (Figure 5). Bellevue workshop participants echoed much of the commentaries made by 502 Smithville community members about how climate change could cause individuals to be 503 fearful, result in increased diseases and create food shortages leading to famine. Of special 504 note for Bellevue participants was their concern about climate change impacts on 505 infrastructure. Here participants expressed both concerns about the impacts of erosion on 506 the land surrounding the community, and the consequences of flooding on highways and 507 roads that would be used to evacuate residents in the case of storms and floods.

The third and final large cluster of terms evident in both MDS plots represent responses to the environmental and human impacts of climate change. Differences in each of the two communities' current vulnerabilities to flooding and storms account for differences in the words elicited. For Smithville, a total of 13 terms trending toward the right side of the MDS plot represent response or adaptation to the environmental and human

513 impacts (Figure 4). Moreover, there are important sub-clusters within these terms that 514 suggest different strategies. For example, for Smithville a cluster of terms suggest 515 individual household or community responses, such as relocating, shelters, family members, 516 self-preservation, and even knowledge and understanding (need to know more). Other 517 responses mentioned involve emergency response and dealing with the impacts after they 518 have done damage (rescue vehicle, emergency response, doctors and hospitals). These 519 words represent responses or adaptations familiar to community members, or generalized 520 responses that cover a wide range of individual or community impacts. Contrastingly, the 521 words federal government, politics and national security form a tight cluster of terms 522 (meaning participants saw them as similar) that is relatively distant from other terms, 523 suggesting that community members did not consistently agree with how "government" is 524 associated with other responses as well as the other listed climate change impacts (Figure 4).

525 For Bellevue, the MDS plot produced a cluster of terms for responses, along the 526 right side of the plot (Figure 5). Similar to Smithville, these responses are relevant, 527 applicable to the situation in Bellevue. Workshop discussion revealed that Bellevue 528 residents are motivated by preserving the community and church (self preservation) and 529 want to be prepared. Since the community and church are on relatively high grounds, they 530 are less concerned about flooding of the community, which in their collective memory has 531 not occurred, but that flooding elsewhere and storms in general will result in an evacuation 532 of the entire area. This concern explains why they listed words such as transportation, 533 communication, emergency warning, and family separation, and possibly looting (in the 534 community while they were evacuated) (Figure 5). They expressed numerous times their

concerns about evacuation bottlenecks, and their fears that lower-lying communities would
be evacuated first, and the roads would be blocked, necessitating police enforcement.

537 A couple of final observations and interpretations of the MDS plots in Figures 4 and 538 5. First, we were interested in the role of religion in terms of understanding and adapting 539 to climate change impacts. Only Smithville community members mentioned any term with 540 a direct religious reference. Five of the workshop participants marked the term God as 541 important. In the Smithville MDS, the term God appears close to clusters of words 542 representing human impacts and responses. Graphically, the term appears in the center of 543 the plot (Figure 4). From a MDS perspective, this center location of the term is not 544 analytically significant. However, for workshop participants, upon seeing the term God in 545 the center, interpretations were offered such as "he's in the middle of the mix" or the "wheel 546 in the middle of the wheel."

547 Second, what is absent from the MDS plots are terms that suggest a scientific 548 understanding of climate change and the physical and ecological processes that increase 549 environmental impacts, most notably sea level rise. Based upon our presentation on climate 550 change and sea level rise in the second workshop, it became clear that almost all the 551 participants did not understand the linkages between CO2 and atmospheric warming that 552 lead to many of the environmental impacts they mentioned (e.g. melting ice, storms). 553 However, they were very interested in our presentations on climate change and sea level 554 rise, and asked many good questions. Also of note is they did not mention the term "sea 555 level rise," though they did mention rising tides, flooding, storms, and erosion, which were 556 very salient in their collective thinking, as evidenced by workshop discussion and the rating 557 of these terms as important during the free listing exercise. From our observations and

discussions, it became clear that workshop participants had not connected climate change to accelerated sea level rise and increases in rising tides, flooding, storms and erosion. Finally, they did not mention any county or state government agency that is engaged in developing climate change adaptation policies and programs, suggesting that community members do not readily think of external agencies or institutions as resources to assist them in adapting to climate change.

564 6b. Justice and Adaptation Issues

565 A number of salient themes emerged from the discussion of adaptation options that 566 are applicable to both communities. First, both communities expressed a strong desire to 567 acquire more information on climate change and its impacts for their areas. Workshop 568 participants expressed an even stronger desire to learn about the state and county programs 569 that support communities in their adaptation to climate change. We heard participants say 570 "we need to get educated and connected" to the organizations that "can help us." Overall, 571 participants thought that the worst thing they could do is to "live with it [climate change]" and that "the worst we can do is to do nothing." 572

573 Second, participants offered carefully worded references to the influence of power 574 and money in terms of supporting communities to prepare for climate change. Questions 575 were asked about "how was it that some communities know this, are already involved in 576 these efforts, and we are only just now learning." Another comment referred directly to the 577 power of money to get support, and without it you are "kinda behind the 'eight ball,' 578 helpless." Very interestingly, one participant asked whether "the environmentalists have any 579 constraints on what some do that harms others?" She believed that some groups or

580 organizations were acting in ways that were causing her community to be at environmental 581 and social risk. Participants also recognized that their relative poverty, small size and 582 isolation were disadvantages, and that they very easily could get overlooked, or not 583 included. We did not hear any explicit reference to racial discrimination as a factor that had 584 resulted in them not knowing earlier the information we presented. Many of the 585 participants were seniors, who had surely experienced racial discrimination in their lifetime, 586 and all knew the segregated history of their parents and grandparents. Still, at least 587 explicitly, racial discrimination did not surface during the workshops or interviews as a 588 reason for why they had not been included in previous efforts to learn about adaptation to 589 climate change.

590 Third, of the adaptation plans discussed in the third workshop, the communities 591 differ in their preferences. Bellevue wants to accommodate. Since they are on higher land, 592 they are not prone to flooding. What they are vulnerable to is flooding in nearby low-lying 593 areas that would result in the community being isolated or trapped during a storm, unable to 594 evacuate (as was discussed above in the MDS). As one workshop participant said, "we are 595 on a peninsula here, you can't go a few miles without running into water." Their needs are 596 to integrate with county and state emergency planning efforts. Bellevue residents also 597 concerned about erosion from storms, because that would lead, over many years, to them 598 being directly vulnerable to flooding in Bellevue proper and the church in particular.

599 For Smithville, their adaptation priority is different: protect the church and 600 cemetery, and make sure that the few seniors living in the community can be reached when 601 there is a storm. To preserve Smithville, it is about protecting the church and cemetery, and 602 protecting property (not houses, most of which are not occupied). In Smithville, they would

want to protect for as long as possible and only as a last resort retreat and relocate the
church. Bellevue is also against retreat and relocations. In Smithville, the biggest problem
is finances to protect the church and cemeterv.<sup>2</sup>

606 **7. Conclusions** 

607 Our participatory research with the communities of Smithville and Bellevue 608 provides valuable insights on their interests, values, vulnerabilities and adaptation goals, all 609 of which confront new challenges due to accelerated sea level rise and increased flooding 610 and storms associated with climate change. Community members were unfamiliar with 611 science-based information on climate change and the projected impacts for their 612 communities, and information on state and county programs that could assist them in 613 adapting to these changes. However, we also found community-based opportunities that 614 with support and assistance could significantly improve adaptation to climate change. 615 Members of both communities are highly motivated and interested in learning more about 616 climate change and its potential impacts for their communities. The MDS results suggest 617 that study participants are already conceptualizing climate change in holistic frames that 618 include causes, impacts, vulnerabilities, and possible adaptation responses. Science-based 619 information on climate change and more information on government adaptation plans would 620 expand their current knowledge while continuing the community's participation in the 621 decision that affect the survival of their communities.

 $<sup>^{2}</sup>$  At the time of the writing of this article, the Maryland Department of Natural Resources was in discussions with Smithville community members about the possibility of building a berm around or elevating the church.

622 Perhaps the most important resource available to each community is their church, 623 and utilization of church-based networks will be key to adaption plans for the future. 624 Throughout the Eastern Shore local churches anchor communities and provide a social 625 institution that motivates, organizes and mobilizes individuals to work toward common 626 goals. These churches have the ability to involve distant members in, for example, climate 627 change discussions and adaptation planning, which extends community capacity beyond 628 local residents. Very importantly, churches reinforce communities' collective motivation to 629 respond and work together to address challenges to the community sustainability, which 630 now on the Eastern Shore include increased risks of flooding, storms and erosion. As 631 members of both Bellevue and Smithville told us, "we are people of faith;" they truly 632 believe sustaining their communities and adapting to climate change are possible. Finally, 633 the New Revived and St. Luke churches are representative of many other small churches, 634 African American and White, spread across the Eastern Shore landscape that can organize 635 communities confronting new environmental and social challenges brought on by climate 636 change.

637 The results of our study remind us that the impacts of climate change are more than 638 just flood maps under different sea level rise scenarios, modeled predictions of changing 639 rainfall and storms, and estimates of shoreline erosion. Adaptations to these impacts will 640 require more than just policy and planning documents at the county or state levels. The 641 scientific understanding of climate change and its impacts, and comprehensive planning for 642 adaptation are vitally necessary and need further refinement and development at both 643 regional and community scales. As the science and policymaking on climate change and 644 adaptation proceed, it is also essential to better understand how climate change and policy

645 become situated in communities that face different risks and social vulnerabilities. 646 Adaptation will be site specific; it will ultimately be a human endeavor of social 647 relationships with exchanges of information and resources. The results of this study have 648 shown for two, generally-similar African American communities on the Eastern Shore there 649 can be quite different adaptation goals: one is to save the church for an extended 650 community for as long as possible, and then relocate if necessary; the other is to ensure 651 evacuation from storms and prevent long-term shoreline erosion. Both of these adaptation 652 goals should be achievable, using a mix of community and governmental support.

We hope our research contributes to the large and growing literature focused on environmental justice that seeks to understand the complex relationships between culture, society, economy, race, ethnicity, and environmental change and hazards (cf. Bryant 1995; Checker 2005; Johnston 2011). Among this literature, are a growing number of studies focusing on racial and economic injustices in response to natural disasters, including those related to climate and weather (cf. Allen 2007; Elliot and Pais 2006; Oliver-Smith 2009; Pezzolli et al. 2007; Wilson et al. 2010).

660 More broadly, our participatory research with the Smithville and Bellevue 661 communities is an attempt to put into practice some of the fundamental principles of 662 distributive and procedural justice. We used cognitive-environmental approaches to elicit 663 community interests and values in a highly participatory manner. The elicited information 664 on community impacts and responses and our discussions of the science of climate change 665 and program options for adaptation provided very useful insights into specific adaptation 666 interests and values (e.g., save the church, avoid isolation during evacuation) and 667 community vulnerabilities (e.g., lack of information, resources, connection to emerging

adaptation programs). Our use of workshops prioritized community participation, and
involved Maryland officials who are developing climate adaptation policies and programs.
Supported by the cognitive analysis of interests and values (e.g., MDS), these workshops
helped us move toward the goals of procedural justice. Ultimately, the legitimacy of the
adaptation decisions affecting Smithville and Bellevue will rest both on their distributive
outcomes and their procedural justice implications.

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- 850 Figures
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- 861 Figure 2: Smithville: 10 Year Flood at Mid Century Under Different Sea Level Rise
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- 864 Figure 3: Bellevue: 10 Year Flood at Mid Century Under Different Sea Level Rise
- 865 Scenarios





Figure 4: Smithville MDS Plot of 56 Terms for Climate Change (plot stress: 0.18)



Figure 5: Bellevue MDS Plot of 35 Terms for Climate Change (plot stress 0.11)